

LEGISLATIVE APPROPRIATIONS REQUEST

Fiscal Years 2014 and 2015

Submitted to the

Governor's Office of Budget, Planning and Policy

and The Legislative Budget Board

by

The Texas State Board of Dental Examiners

August 16, 2012

Dental Board Legislative Appropriations Request 2014 - 2015

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504 Texas State Board of Dental Examiners

The State Board of Dental Examiners (SBDE) is governed by the Texas Occupations Code, Titles 1, 2 and 3 in general and specifically, Title 3, Subtitle D, Chapters 251-266 et. seq. General rulemaking authority is granted under Section 254.001 of the Occupations Code.

Currently, the SBDE regulates licensure and certifications for dentists (15,000), dental hygienists (14,000), dental assistants who take radiographs (32,000), and dental laboratories (1,000). Total licensee-managed population exceeds 60,000.

The SBDE consists of fifteen members appointed by the Governor to serve staggered six-year terms. The Occupations Code provides allowance for eight members to be dentists who reside and practice in Texas; two registered dental hygienists who reside and practice in Texas; and five public members. One public member office is currently vacant. The 14 current members are:

Board President: Tamela L. Gough, D.D.S. Secretary--Allen--Term Expires 02-01-2017

Board Secretary: Steven Austin, D.D.S.--Amarillo--Term Expires 02-01-2013

Other Members:

William R. Birdwell, D.D.S.--Bryan--Term Expires 02-01-2015

James W. Chancellor, D.D.S.--Garden Ridge--Term Expires 02-01-2015

Renee Cornett, R.D.H.--Austin--Term Expires 02-01-2015

Bradley Dean, D.D.S.--Frisco--Term Expires 02-01-2017

Maxwell Finn, D.D.S., M.D.--Dallas--Term Expires 02-01-2013

Alicia Grant, C.D.A., R.D.H.--Richardson--Term Expires 02-01-2013

Whitney Hyde--Midland--Term Expires 02-01-2015

Christy Leedy, D.D.S.--Abilene--Term Expires 02-01-2017

Evangelia "Lia" Mote--Cedar Park--Term Expires 02-01-2017

Rudolfo G. Ramos, Jr. D.D.S.--Houston--Term Expires 02-01-2015

Arthur Troilo, III--Austin--Term Expires 02-01-2013

Emily Willeford--San Antonio--Term Expires 02-01-2017

Through the authority of the 81st Legislature and the support and generosity of its stakeholders, the SBDE was able to secure funding for replacement of an antiquated computer licensing and enforcement system. The replacement system was launched in May, 2011. Although the initial launch did not go smoothly, the agency eventually worked through most of the system problems and is now enjoying the benefits of the new database.

This Legislative Appropriation Request asks for approval of baseline funding and five exceptional items that are needed for the agency to carry out its responsibilities in a manner that is acceptable to the legislature, the Governor, the Dental Board, and our licensees and staff. The exceptional items are for:

1. A slight increase (\$29,334 for the biennium) to fund increased expenses related to supporting the Health Professions Council and the new database.

2. Pay Equity for Current Employees. The agency is asking for \$353,000 for the biennium to fund pay increases for its current employees, so that they are paid fairly in comparison to employees in other HPC agencies such as the Nursing Board, Medical Board and Pharmacy Board.

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3. Funding and Authorization for 11 New FTEs. The agency is asking for permission and funding of \$1,037,000 for the biennium to hire 11 additional FTEs, of which 9 would support the Enforcement and Legal functions of the agency.

4. Funding to Pay for Consulting Dentists to Review Cases. The agency is asking for an increase in funding of \$300,000 for the biennium to pay consulting dentists to review standard of care cases for the agency to help resolve these complaints quicker. The agency currently depends on dentists who are board members and on unpaid consulting dentists to review all standard of care cases. The Medical Board pays medical doctors to review standard of care cases for them and the Dental Board is asking for the same opportunity, which would be a great help in resolving cases.

5. Pay Increase for Executive Director. The Dental Board believes that its Executive Director is not adequately compensated and is asking for an increase of \$12,500 annually (\$25,000 for the biennium), which would bring the pay to \$95,000 annually. This amount is still significantly less than the pay for directors of the Medical Board and the Pharmacy Board.

Budget Reductions

The requested ten percent budget reduction amounts would be taken primarily from funding for the enforcement functions of the agency. The licensing functions cannot reasonably be reduced because the agency will still be expected to issue new licenses and to continue to renew licenses for existing dentists, hygienists, assistants and laboratories. Enforcement staff and attorneys would be reduced by approximately one-third. The agency would compensate by focusing its remaining enforcement assets on resolving complaints with the highest priority that involve potential physical danger or significant financial harm to the public. Complaints of lesser importance would be closed by giving the respondent the opportunity to correct the issues without disciplinary action. If the agency were to be overwhelmed with complaints, then we would close lower priority complaints without disciplinary action. The agency would also terminate its receptionist and go back to a voice mail system for all calls.

Agency Staff

The agency has an authorized staffing level of 36 FTEs for the 2012-2013 biennium. The agency was unable to maintain staffing at that level for 2011 and 2012, primarily due to funding issues. A recent change at the executive director level led to a review of agency staffing and it has been determined that the agency will be fully staffed at 36 FTEs for FY 2013. We are asking for an increase of 11 FTEs (to 47) for the 2014-2015 biennium so that the agency can properly carry out its responsibilities. A current organizational chart is included in bound copies of this LAR. The FTE distribution as of September 30, 2012 is expected to be as follows:

Executive: 2 FTE
Finance & Administration: 4 FTEs
Licensing: 9 FTEs
Legal: 6 1/2 FTEs
Enforcement: 14 1/2 FTEs

Total Agency FTEs: 36.0

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Revenues & Expenditures

The Dental Board is a net source of revenue each year for the State of Texas. The agency collects far more in revenue than it is allowed to spend. The excess collected goes into the General Fund for use in other areas of the state budget. During FY 2012, the Dental Board collected approximately \$9.5 million dollars in revenue from its licensees during and spent approximately \$2.8 million, including all allocated expenses. The excess of approximately \$6.7 million ended up in the General Fund.

SDSI

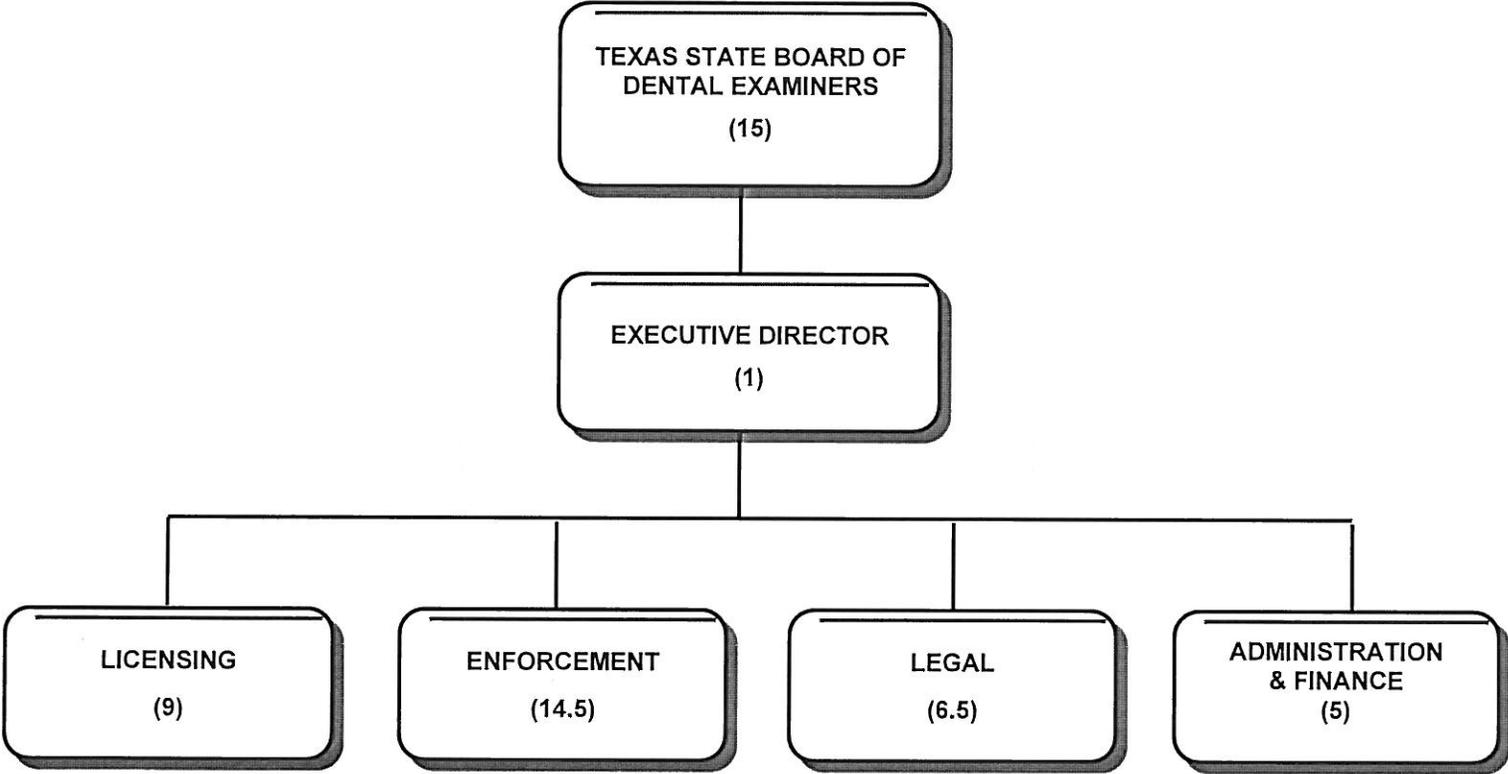
The Dental Board recently directed the Executive Director to seek Semi-Independent, Self-Directed (SDSI) status for the Dental during the 2013 legislative session. The Board is an excellent candidate for SDSI status. It is a regulatory agency that is self-sufficient financially, as are all the other agencies that have been granted SDSI status thus far (such as the Real Estate Commission). It generates enough money to fund all its expenses and its functions could be better handled if the agency were able to be semi-independent.

Conclusion

The SBDE has a goal of becoming the most outstanding, most effective dental board in the United States and one of the best managed boards in the State of Texas. We realize that we have a long way to go, but attaining that goal is possible. Funding the board at the requested level, including the exceptional items, will allow the board to make great progress towards its goals during the 2014-2015 biennium. It is with respect that we request the support of the Texas Legislature as we better fulfill our mission of protecting the public.

Glenn Parker
Executive Director
Texas State Board of Dental Examiners

Tamela Gough, D.D.S.
Presiding Officer
Texas State Board of Dental Examiners



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Goal / Objective / STRATEGY	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
<u>1</u> To Ensure Quality Dental Care for the People of Texas					
<u>1</u> <i>Protect the Public by Ensuring That Complaints Are Investigated</i>					
1 COMPLAINT RESOLUTION	1,176,422	1,324,643	1,320,199	1,360,209	1,360,210
2 PEER ASSISTANCE PROGRAM	124,341	124,250	124,250	124,250	124,250
<u>2</u> <i>Ensure Licensing Standards Are Met</i>					
1 LICENSURE & REGISTRATION	556,677	593,563	595,500	595,500	595,500
2 TEXAS.GOV	251,154	250,000	250,000	250,000	250,000
TOTAL, GOAL 1	\$2,108,594	\$2,292,456	\$2,289,949	\$2,329,959	\$2,329,960
<u>2</u> Indirect Administration					
<u>1</u> <i>Indirect Administration</i>					
1 INDIRECT ADMIN - LICENSURE	49,375	34,140	34,140	34,000	34,000
2 IND ADMIN - COMPLAINT RESOLUTION	62,909	72,634	72,694	34,000	34,000

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Goal / Objective / STRATEGY	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
TOTAL, GOAL 2	\$112,284	\$106,774	\$106,834	\$68,000	\$68,000
TOTAL, AGENCY STRATEGY REQUEST	\$2,220,878	\$2,399,230	\$2,396,783	\$2,397,959	\$2,397,960
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				\$0	\$0
GRAND TOTAL, AGENCY REQUEST	\$2,220,878	\$2,399,230	\$2,396,783	\$2,397,959	\$2,397,960
<u>METHOD OF FINANCING:</u>					
General Revenue Funds:					
1 General Revenue Fund	1,999,571	2,140,683	2,138,236	2,139,459	2,139,460
SUBTOTAL	\$1,999,571	\$2,140,683	\$2,138,236	\$2,139,459	\$2,139,460
Other Funds:					
666 Appropriated Receipts	221,307	258,547	258,547	258,500	258,500
SUBTOTAL	\$221,307	\$258,547	\$258,547	\$258,500	\$258,500
TOTAL, METHOD OF FINANCING	\$2,220,878	\$2,399,230	\$2,396,783	\$2,397,959	\$2,397,960

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

10/4/2012 9:18:28PM

83rd Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 504

Agency name: Texas State Board of Dental Examiners

METHOD OF FINANCING	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
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GENERAL REVENUE

1 General Revenue Fund

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2010-11 GAA)

\$2,102,718	\$0	\$0	\$0	\$0
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Regular Appropriations from MOF Table (2012-13 GAA)

\$0	\$2,069,954	\$2,072,865	\$0	\$0
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Regular Appropriations, 83rd Leg, RS, GAA

\$0	\$0	\$0	\$2,139,459	\$2,139,460
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RIDER APPROPRIATION

Art IX, Sec 9.05, TexasOnline: Occupational Licenses (2010-11 GAA)

\$66,525	\$0	\$0	\$0	\$0
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Art IX, Sec 9.05, TexasOnline: Occupational Licenses (2012-13 GAA)

\$0	\$65,371	\$65,371	\$0	\$0
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Art IX, Sec 18.15 Payments to DIR (DIR Refunds to Agency)

\$0	\$5,358	\$0	\$0	\$0
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2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

10/4/2012 9:18:32PM

83rd Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 504

Agency name: Texas State Board of Dental Examiners

METHOD OF FINANCING	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
<u>GENERAL REVENUE</u>					
<i>SUPPLEMENTAL, SPECIAL OR EMERGENCY APPROPRIATIONS</i>					
HB 4, 82nd Leg, Regular Session, Sec 1(a) General Revenue Reductions.					
	\$(114,118)	\$0	\$0	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>					
Regular Appropriations from MOF Table (2010-11 GAA)					
	\$(55,554)	\$0	\$0	\$0	\$0
TOTAL, General Revenue Fund	\$1,999,571	\$2,140,683	\$2,138,236	\$2,139,459	\$2,139,460
TOTAL, ALL GENERAL REVENUE	\$1,999,571	\$2,140,683	\$2,138,236	\$2,139,459	\$2,139,460

OTHER FUNDS

666 Appropriated Receipts

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2010-11 GAA)

\$72,000 \$0 \$0 \$0 \$0

Regular Appropriations from MOF Table (2012-13 GAA)

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2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

10/4/2012 9:18:32PM

83rd Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 504

Agency name: Texas State Board of Dental Examiners

METHOD OF FINANCING	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
<u>OTHER FUNDS</u>					
	\$0	\$128,047	\$128,047	\$0	\$0
Regular Appropriations from MOF Table 2014-2015	\$0	\$0	\$0	\$258,500	\$258,500
<i>RIDER APPROPRIATION</i>					
Art IX, Sec 8.08, Seminars and Conferences (2010-11 GAA)	\$149,307	\$0	\$0	\$0	\$0
Art IX, Sec 8.08, Seminars and Conferences (2012-13 GAA)	\$0	\$80,500	\$80,500	\$0	\$0
Art IX, Sec 8.03, Reimbursements and Payments (2012-13 GAA)	\$0	\$50,000	\$50,000	\$0	\$0
TOTAL, Appropriated Receipts	\$221,307	\$258,547	\$258,547	\$258,500	\$258,500
TOTAL, ALL OTHER FUNDS	\$221,307	\$258,547	\$258,547	\$258,500	\$258,500
GRAND TOTAL	\$2,220,878	\$2,399,230	\$2,396,783	\$2,397,959	\$2,397,960

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

10/4/2012 9:18:32PM

83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 504

Agency name: Texas State Board of Dental Examiners

METHOD OF FINANCING	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
<u>FULL-TIME-EQUIVALENT POSITIONS</u>					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2010-11 GAA)	37.0	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2012-13 GAA)	0.0	36.0	36.0	0.0	0.0
Regular Appropriations from MOF Tables GAA (2014-2015)	0.0	0.0	0.0	36.0	36.0
LAPSED APPROPRIATIONS					
Regular Appropriations from MOF Table (2010-11 GAA)	(5.1)	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2012-13 GAA)	0.0	(4.1)	0.0	0.0	0.0
TOTAL, ADJUSTED FTES	31.9	31.9	36.0	36.0	36.0
NUMBER OF 100% FEDERALLY FUNDED FTEs	0.0	0.0	0.0	0.0	0.0

2.C. SUMMARY OF BASE REQUEST BY OBJECT OF EXPENSE

10/4/2012 9:19:14PM

83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

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OBJECT OF EXPENSE	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
1001 SALARIES AND WAGES	\$1,376,011	\$1,503,841	\$1,613,750	\$1,592,750	\$1,592,750
1002 OTHER PERSONNEL COSTS	\$63,500	\$51,969	\$57,930	\$57,660	\$57,660
2001 PROFESSIONAL FEES AND SERVICES	\$118,672	\$131,321	\$130,500	\$130,500	\$130,500
2002 FUELS AND LUBRICANTS	\$0	\$21	\$0	\$0	\$0
2003 CONSUMABLE SUPPLIES	\$19,311	\$15,077	\$22,000	\$22,000	\$22,000
2004 UTILITIES	\$13,165	\$10,964	\$13,500	\$13,500	\$13,500
2005 TRAVEL	\$48,279	\$37,891	\$45,000	\$45,000	\$45,000
2006 RENT - BUILDING	\$1,721	\$2,737	\$4,000	\$4,000	\$4,000
2009 OTHER OPERATING EXPENSE	\$576,664	\$572,133	\$495,103	\$517,549	\$517,550
5000 CAPITAL EXPENDITURES	\$3,555	\$73,276	\$15,000	\$15,000	\$15,000
OOE Total (Excluding Riders)	\$2,220,878	\$2,399,230	\$2,396,783	\$2,397,959	\$2,397,960
OOE Total (Riders)					
Grand Total	\$2,220,878	\$2,399,230	\$2,396,783	\$2,397,959	\$2,397,960

2.D. SUMMARY OF BASE REQUEST OBJECTIVE OUTCOMES

10/4/2012 9:18:35PM

83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

504 Texas State Board of Dental Examiners

Goal/ Objective / Outcome	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
1 To Ensure Quality Dental Care for the People of Texas					
1 Protect the Public by Ensuring That Complaints Are Investigated					
KEY 1 Percent of Complaints Resulting in Disciplinary Action	18.67%	18.00%	18.00%	18.00%	18.00 %
2 Recidivism Rate for Those Receiving Disciplinary Action	5.00%	5.00%	5.00%	5.00%	5.00 %
3 Percent of Documented Complaints Resolved within 6 Months	26.78%	30.00%	30.00%	30.00%	30.00 %
4 Recidivism Rate for Peer Assistance Programs	11.10%	11.00%	11.00%	11.00%	11.00 %
5 One-Year Completion Rate for Peer Assistance Programs	65.00%	65.00%	65.00%	65.00%	65.00 %
2 Ensure Licensing Standards Are Met					
KEY 1 Percent of Licensees with No Recent Violations: Dentist	97.42%	97.00%	97.00%	96.00%	96.00 %
2 Percent of Licensees with No Recent Violations: DH	99.00	99.00	99.00	99.00	99.00
KEY 3 Percent of Licensees Who Renew Online	72.54%	75.00%	85.00%	90.00%	90.00 %
KEY 4 Percent of New Individual Licenses Issued Online	0.00%	0.00%	0.00%	0.00%	0.00 %

2.E. SUMMARY OF EXCEPTIONAL ITEMS REQUEST

83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/4/2012
 TIME : 9:18:43PM

Agency code: 504

Agency name: Texas State Board of Dental Examiners

Priority	Item	2014			2015			Biennium	
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds
1	HPC Transfers/Payments	\$14,879	\$14,879		\$14,455	\$14,455		\$29,334	\$29,334
2	Authorize/Fund 11 Additional Staff	\$634,000	\$634,000	11.0	\$603,000	\$603,000	11.0	\$1,237,000	\$1,237,000
3	Pay Dental Case Review Consultants	\$150,000	\$150,000	0.0	\$150,000	\$150,000	0.0	\$300,000	\$300,000
4	Pay Equity for Current Employees	\$176,500	\$176,500		\$176,500	\$176,500		\$353,000	\$353,000
5	Increase Pay for Executive Director	\$12,500	\$12,500		\$12,500	\$12,500		\$25,000	\$25,000
Total, Exceptional Items Request		\$987,879	\$987,879	11.0	\$956,455	\$956,455	11.0	\$1,944,334	\$1,944,334
Method of Financing									
	General Revenue	\$987,879	\$987,879		\$956,455	\$956,455		\$1,944,334	\$1,944,334
	General Revenue - Dedicated								
	Federal Funds								
	Other Funds								
		\$987,879	\$987,879		\$956,455	\$956,455		\$1,944,334	\$1,944,334
Full Time Equivalent Positions				11.0				11.0	
Number of 100% Federally Funded FTEs				0.0				0.0	

2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas(ABEST)

DATE : 10/4/2012
 TIME : 9:18:50PM

Agency code: 504 Agency name: Texas State Board of Dental Examiners

<i>Goal/Objective/STRATEGY</i>	Base 2014	Base 2015	Exceptional 2014	Exceptional 2015	Total Request 2014	Total Request 2015
1 To Ensure Quality Dental Care for the People of Texas						
<i>1 Protect the Public by Ensuring That Complaints Are Investigated</i>						
1 COMPLAINT RESOLUTION	\$1,360,209	\$1,360,210	\$831,290	\$807,078	\$2,191,499	\$2,167,288
2 PEER ASSISTANCE PROGRAM	124,250	124,250	0	0	124,250	124,250
<i>2 Ensure Licensing Standards Are Met</i>						
1 LICENSURE & REGISTRATION	595,500	595,500	105,089	101,377	700,589	696,877
2 TEXAS.GOV	250,000	250,000	0	0	250,000	250,000
TOTAL, GOAL 1	\$2,329,959	\$2,329,960	\$936,379	\$908,455	\$3,266,338	\$3,238,415
2 Indirect Administration						
<i>1 Indirect Administration</i>						
1 INDIRECT ADMIN - LICENSURE	34,000	34,000	25,750	24,000	59,750	58,000
2 IND ADMIN - COMPLAINT RESOLUTION	34,000	34,000	25,750	24,000	59,750	58,000
TOTAL, GOAL 2	\$68,000	\$68,000	\$51,500	\$48,000	\$119,500	\$116,000
TOTAL, AGENCY STRATEGY REQUEST	\$2,397,959	\$2,397,960	\$987,879	\$956,455	\$3,385,838	\$3,354,415
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST						
GRAND TOTAL, AGENCY REQUEST	\$2,397,959	\$2,397,960	\$987,879	\$956,455	\$3,385,838	\$3,354,415

2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas(ABEST)

DATE : 10/4/2012
 TIME : 9:18:53PM

Agency code: 504 Agency name: Texas State Board of Dental Examiners

<i>Goal/Objective/STRATEGY</i>	Base 2014	Base 2015	Exceptional 2014	Exceptional 2015	Total Request 2014	Total Request 2015
General Revenue Funds:						
1 General Revenue Fund	\$2,139,459	\$2,139,460	\$987,879	\$956,455	\$3,127,338	\$3,095,915
	\$2,139,459	\$2,139,460	\$987,879	\$956,455	\$3,127,338	\$3,095,915
Other Funds:						
666 Appropriated Receipts	258,500	258,500	0	0	258,500	258,500
	\$258,500	\$258,500	\$0	\$0	\$258,500	\$258,500
TOTAL, METHOD OF FINANCING	\$2,397,959	\$2,397,960	\$987,879	\$956,455	\$3,385,838	\$3,354,415
FULL TIME EQUIVALENT POSITIONS	36.0	36.0	11.0	11.0	47.0	47.0

2.G. SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES

83rd Regular Session, Agency Submission, Version I
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/4/2012
 Time: 9:18:58PM

Agency code: 504

Agency name: Texas State Board of Dental Examiners

Goal/ Objective / Outcome

	BL 2014	BL 2015	Excp 2014	Excp 2015	Total Request 2014	Total Request 2015
1 To Ensure Quality Dental Care for the People of Texas						
1 <i>Protect the Public by Ensuring That Complaints Are Investigated</i>						
KEY 1 Percent of Complaints Resulting in Disciplinary Action	18.00%	18.00%	2.00%	2.00%	2.00%	2.00 %
2 Recidivism Rate for Those Receiving Disciplinary Action	5.00%	5.00%			5.00%	5.00 %
3 Percent of Documented Complaints Resolved within 6 Months	30.00%	30.00%	20.00%	20.00%	20.00%	20.00 %
4 Recidivism Rate for Peer Assistance Programs	11.00%	11.00%			11.00%	11.00 %
5 One-Year Completion Rate for Peer Assistance Programs	65.00%	65.00%			65.00%	65.00 %
2 <i>Ensure Licensing Standards Are Met</i>						
KEY 1 Percent of Licensees with No Recent Violations: Dentist	96.00%	96.00%			96.00%	96.00 %
2 Percent of Licensees with No Recent Violations: DH	99.00	99.00			99.00	99.00
KEY 3 Percent of Licensees Who Renew Online	90.00%	90.00%			90.00%	90.00 %

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2.G. SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES

83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas(ABEST)

Date : 10/4/2012
Time: 9:19:01PM

Agency code: **504**

Agency name: **Texas State Board of Dental Examiners**

Goal/ Objective / Outcome

	BL 2014	BL 2015	Excp 2014	Excp 2015	Total Request 2014	Total Request 2015
KEY						
4 Percent of New Individual Licenses Issued Online						
	0.00%	0.00%			0.00%	0.00 %

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GOAL:	1 To Ensure Quality Dental Care for the People of Texas	Statewide Goal/Benchmark:	7 4
OBJECTIVE:	1 Protect the Public by Ensuring That Complaints Are Investigated	Service Categories:	
STRATEGY:	1 Provide a System to Investigate and Resolve Complaints	Service: 16	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Output Measures:						
KEY 1	Number of Complaints Resolved	996.00	900.00	900.00	900.00	900.00
2	Number of Complaints Pending Litigation	303.00	400.00	400.00	400.00	400.00
Efficiency Measures:						
KEY 1	Average Time for Complaint Resolution	409.51	400.00	400.00	400.00	400.00
KEY 2	Average Time to Resolve Complaints Pending Litigation	339.87	445.00	445.00	445.00	445.00
Explanatory/Input Measures:						
KEY 1	Number of Jurisdictional Complaints Received	1,102.00	990.00	1,100.00	1,200.00	1,250.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$980,572	\$1,033,133	\$1,095,000	\$1,100,000	\$1,100,000
1002	OTHER PERSONNEL COSTS	\$45,200	\$29,961	\$32,000	\$44,000	\$44,000
2001	PROFESSIONAL FEES AND SERVICES	\$8,349	\$18,265	\$18,000	\$18,000	\$18,000
2002	FUELS AND LUBRICANTS	\$0	\$21	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$11,867	\$7,909	\$12,000	\$12,000	\$12,000
2004	UTILITIES	\$12,211	\$10,711	\$13,000	\$13,000	\$13,000
2005	TRAVEL	\$45,250	\$36,560	\$40,000	\$40,000	\$40,000
2006	RENT - BUILDING	\$1,222	\$1,169	\$2,000	\$2,000	\$2,000
2009	OTHER OPERATING EXPENSE	\$69,227	\$144,663	\$98,199	\$121,209	\$121,210

3.A. STRATEGY REQUEST
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas(ABEST)

504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas Statewide Goal/Benchmark: 7 4
 OBJECTIVE: 1 Protect the Public by Ensuring That Complaints Are Investigated Service Categories:
 STRATEGY: 1 Provide a System to Investigate and Resolve Complaints Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
5000	CAPITAL EXPENDITURES	\$2,524	\$42,251	\$10,000	\$10,000	\$10,000
TOTAL, OBJECT OF EXPENSE		\$1,176,422	\$1,324,643	\$1,320,199	\$1,360,209	\$1,360,210
Method of Financing:						
1	General Revenue Fund	\$1,175,127	\$1,322,643	\$1,318,199	\$1,358,209	\$1,358,210
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,175,127	\$1,322,643	\$1,318,199	\$1,358,209	\$1,358,210
Method of Financing:						
666	Appropriated Receipts	\$1,295	\$2,000	\$2,000	\$2,000	\$2,000
SUBTOTAL, MOF (OTHER FUNDS)		\$1,295	\$2,000	\$2,000	\$2,000	\$2,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,360,209	\$1,360,210
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,176,422	\$1,324,643	\$1,320,199	\$1,360,209	\$1,360,210
FULL TIME EQUIVALENT POSITIONS:		22.0	22.0	23.0	23.0	23.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

504 Texas State Board of Dental Examiners

GOAL:	1 To Ensure Quality Dental Care for the People of Texas	Statewide Goal/Benchmark:	7	4
OBJECTIVE:	1 Protect the Public by Ensuring That Complaints Are Investigated	Service Categories:		
STRATEGY:	1 Provide a System to Investigate and Resolve Complaints	Service: 16	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
	The SBDE investigates all jurisdictional complaints.(Tex. Occ.Code Ann. 255.006). Investigations that identify and confirm treatment below the minimum standard of care result in prosecution and sanctions. SBDE processes include a compliance monitor to ensure those disciplined complete board-ordered requirements timely and in accordance with the SBDE terms. Implementation of this strategy helps ensure that the public receives competent dental care					

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

External factors impacting this strategy are the number of jurisdictional complaints filed and investigated, as well as licensee compliance(or lack thereof) by those receiving board-directed sanctions. These factors can directly impact the hours of staff commitment to the administration of this strategy

The current focus on Medicaid fraud and the allegations of the corporate practice of dentistry by practice management firms is expected to add to the number of complaints the agency deals with over the next biennium

504 Texas State Board of Dental Examiners

GOAL:	1 To Ensure Quality Dental Care for the People of Texas	Statewide Goal/Benchmark:	7 2
OBJECTIVE:	1 Protect the Public by Ensuring That Complaints Are Investigated	Service Categories:	
STRATEGY:	2 Provide a Peer Assistance Program for Licensed Individuals	Service: 16	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Output Measures:						
KEY 1	# of Licensed Individuals Participating in a Peer Assistance Program	90.00	83.00	85.00	85.00	85.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$26,841	\$26,750	\$26,750	\$26,750	\$26,750
2001	PROFESSIONAL FEES AND SERVICES	\$97,500	\$97,500	\$97,500	\$97,500	\$97,500
2009	OTHER OPERATING EXPENSE	\$0	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$124,341	\$124,250	\$124,250	\$124,250	\$124,250
Method of Financing:						
1	General Revenue Fund	\$124,341	\$124,250	\$124,250	\$124,250	\$124,250
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$124,341	\$124,250	\$124,250	\$124,250	\$124,250
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$124,250	\$124,250
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$124,341	\$124,250	\$124,250	\$124,250	\$124,250
FULL TIME EQUIVALENT POSITIONS:		0.5	0.5	0.5	0.5	0.5

504 Texas State Board of Dental Examiners

GOAL:	1 To Ensure Quality Dental Care for the People of Texas	Statewide Goal/Benchmark:	7	2
OBJECTIVE:	1 Protect the Public by Ensuring That Complaints Are Investigated	Service Categories:		
STRATEGY:	2 Provide a Peer Assistance Program for Licensed Individuals	Service: 16	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The SBDE's peer assistance program is operated by a Board-approved provider, Texas Pharmacy Association - Professional Recovery Network, under authority provided by Health & Safety Code, Sec. 467. The peer assistance program provider serves the SBDE and the dental profession in several ways (1) monitors impaired and chemically impaired dental professionals' compliance with their Board orders, (2) assists impaired dental professionals in their efforts to become unimpaired and non-chemically dependent, and (3) notifies the SBDE of practitioners who may be impaired and may be a threat to public welfare

The SBDE collects \$9 from each licensed dentist and \$2 from each licensed dental hygienist for the peer assistance program. The SBDE also deposits all disciplinary fines into the peer assistance program (sec 467.004 of the Health and Safety Code and SBDE Rule 102.1). This appropriation is capped. Any fees and disciplinary fines over the cap are deposited into the General Revenue Fund.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Fees are collected from licensees by the SBDE to contract services for the purpose of providing a peer assistance program for impaired dentists and dental hygienists. If funding were not provided, the SBDE would not be in a position to offer this needed service to impaired licensees, which eventually helps to increase public protection.

504 Texas State Board of Dental Examiners

GOAL:	1 To Ensure Quality Dental Care for the People of Texas	Statewide Goal/Benchmark:	7 6
OBJECTIVE:	2 Ensure Licensing Standards Are Met	Service Categories:	
STRATEGY:	1 Conduct an Efficient Licensure Process	Service: 16	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Output Measures:						
KEY 1	Number of New Licenses Issued to Individuals Dentists	1,023.00	900.00	875.00	900.00	900.00
KEY 2	Number of Licenses Renewed (Individuals): Dentists	14,202.00	14,000.00	14,000.00	14,500.00	14,500.00
KEY 3	Number of New Licenses Issued to Individuals Dental Hygienists	520.00	600.00	600.00	600.00	600.00
KEY 4	Number of Licenses Renewed (Individuals): Dental Hygienists	10,821.00	10,775.00	10,775.00	10,775.00	10,775.00
5	Number of New Registrations Issued: Dental Assistants	7,093.00	6,500.00	7,000.00	7,500.00	7,500.00
6	Number of Registrations Renewed: Dental Assistants	26,730.00	29,500.00	33,000.00	36,000.00	39,000.00
Efficiency Measures:						
1	Percentage of New Individual Licenses Issued within 10 Days: D & DH	90.00	50.00	95.00	95.00	95.00
2	Percentage of Individual License Renewals Issued within 7 Days: D & DH	90.00 %	50.00 %	95.00 %	95.00 %	95.00 %
Explanatory/Input Measures:						
1	Total Number of Individuals Licensed: Dentists	15,106.00	15,500.00	16,000.00	16,500.00	17,000.00
2	Total Number of Individuals Licensed: Dental Hygienist	11,600.00	12,000.00	12,000.00	12,500.00	12,500.00
KEY 3	Total Number of Business Facilities Licensed	1,037.00	950.00	900.00	850.00	850.00
Objects of Expense:						

3.A. STRATEGY REQUEST
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504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas Statewide Goal/Benchmark: 7 6
 OBJECTIVE: 2 Ensure Licensing Standards Are Met Service Categories:
 STRATEGY: 1 Conduct an Efficient Licensure Process Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
1001	SALARIES AND WAGES	\$264,598	\$352,310	\$400,000	\$400,000	\$400,000
1002	OTHER PERSONNEL COSTS	\$10,160	\$9,150	\$13,000	\$13,000	\$13,000
2001	PROFESSIONAL FEES AND SERVICES	\$12,823	\$15,040	\$15,000	\$15,000	\$15,000
2003	CONSUMABLE SUPPLIES	\$7,444	\$7,168	\$10,000	\$10,000	\$10,000
2004	UTILITIES	\$954	\$253	\$500	\$500	\$500
2005	TRAVEL	\$3,100	\$1,331	\$5,000	\$5,000	\$5,000
2006	RENT - BUILDING	\$499	\$1,568	\$2,000	\$2,000	\$2,000
2009	OTHER OPERATING EXPENSE	\$256,068	\$175,718	\$145,000	\$145,000	\$145,000
5000	CAPITAL EXPENDITURES	\$1,031	\$31,025	\$5,000	\$5,000	\$5,000
TOTAL, OBJECT OF EXPENSE		\$556,677	\$593,563	\$595,500	\$595,500	\$595,500
Method of Financing:						
1	General Revenue Fund	\$336,665	\$337,016	\$338,953	\$339,000	\$339,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$336,665	\$337,016	\$338,953	\$339,000	\$339,000
Method of Financing:						
666	Appropriated Receipts	\$220,012	\$256,547	\$256,547	\$256,500	\$256,500
SUBTOTAL, MOF (OTHER FUNDS)		\$220,012	\$256,547	\$256,547	\$256,500	\$256,500

504 Texas State Board of Dental Examiners

GOAL:	1 To Ensure Quality Dental Care for the People of Texas	Statewide Goal/Benchmark:	7	6
OBJECTIVE:	2 Ensure Licensing Standards Are Met	Service Categories:		
STRATEGY:	1 Conduct an Efficient Licensure Process	Service: 16	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$595,500	\$595,500
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$556,677	\$593,563	\$595,500	\$595,500	\$595,500
FULL TIME EQUIVALENT POSITIONS:		6.4	6.9	10.5	10.5	10.5

STRATEGY DESCRIPTION AND JUSTIFICATION:

The SBDE administers all aspects of licensure and certification for qualified dental professionals (Tx Occ Code 256 et.seq.) In addition to licensure to practice dentistry and dental hygiene, the SBDE registers all dental assistants who take radiographs, all dental auxiliaries that wish to monitor the administration of nitrous oxide conscious sedation or apply dental sealants. The agency also registers dental laboratories and mobile dental facilities. Renewals of all these certifications occur annually. Additionally, monitoring of continuing education compliance, retirement and reinstatement of eligible licenses, and maintenance of all licensing records occurs through this strategy.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The stable and long term staff members of this division help ensure efficiency; however, the SBDE has no influence or control over the numbers of licensing and/or certification applicants. Since FY05, the agency has registered over 40,000 dental assistants, which has created a tremendous amount of administration and maintenance of records. Changing renewal procedures to allow this population to renew through Texas Online has reduced the administrative burden of paper renewal, although staff is still investing a disproportionate amount of time assisting dental assistant registrants who use the online service. The Licensing Department was overwhelmed during the FY2012 fiscal year when the agency implemented a new computer based licensing system. The number of dental assistants continues to increase and additional employees are needed to properly perform the work necessary to issue and renew dental assistant registrations.

504 Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas Statewide Goal/Benchmark: 7 7
 OBJECTIVE: 2 Ensure Licensing Standards Are Met Service Categories:
 STRATEGY: 2 Texas.gov. Estimated and Nontransferable Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$251,154	\$250,000	\$250,000	\$250,000	\$250,000
TOTAL, OBJECT OF EXPENSE		\$251,154	\$250,000	\$250,000	\$250,000	\$250,000
Method of Financing:						
1	General Revenue Fund	\$251,154	\$250,000	\$250,000	\$250,000	\$250,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$251,154	\$250,000	\$250,000	\$250,000	\$250,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$250,000	\$250,000
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$251,154	\$250,000	\$250,000	\$250,000	\$250,000

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

The SBDE collects \$10 from Dentists, \$6 from Dental Hygienists, \$3 from Dental Laboratories and \$2 from Dental Assistants. Texasonline is just a pass-through appropriation. All funds collected for Texasonline are transferred to the vendor by the 10th of the following month.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. STRATEGY REQUEST
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504 Texas State Board of Dental Examiners

GOAL:	1	To Ensure Quality Dental Care for the People of Texas	Statewide Goal/Benchmark:	7	7
OBJECTIVE:	2	Ensure Licensing Standards Are Met	Service Categories:		
STRATEGY:	2	Texas.gov. Estimated and Nontransferable	Service: 16	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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All funds collected by the agency under the Texas Online program are paid to the vendor on the tenth day of the following month and cannot be reduced or used for any other purpose.

504 Texas State Board of Dental Examiners

GOAL:	2 Indirect Administration	Statewide Goal/Benchmark:	7 6
OBJECTIVE:	1 Indirect Administration	Service Categories:	
STRATEGY:	1 Indirect Administration - Licensure and Registration	Service: NA	Income: NA Age: NA

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
1001	SALARIES AND WAGES	\$49,375	\$32,892	\$33,000	\$33,000	\$33,000
1002	OTHER PERSONNEL COSTS	\$0	\$320	\$330	\$330	\$330
2003	CONSUMABLE SUPPLIES	\$0	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$0	\$928	\$810	\$670	\$670
TOTAL, OBJECT OF EXPENSE		\$49,375	\$34,140	\$34,140	\$34,000	\$34,000
Method of Financing:						
1	General Revenue Fund	\$49,375	\$34,140	\$34,140	\$34,000	\$34,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$49,375	\$34,140	\$34,140	\$34,000	\$34,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$34,000	\$34,000
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$49,375	\$34,140	\$34,140	\$34,000	\$34,000
FULL TIME EQUIVALENT POSITIONS:		1.5	1.0	1.0	1.0	1.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

Indirect Administration for Licensing includes half of the two FTEs in the Accounting Department. The agency has the indirect administration departments only because they are a state requirement.

504 Texas State Board of Dental Examiners

GOAL:	2	Indirect Administration	Statewide Goal/Benchmark:	7	6
OBJECTIVE:	1	Indirect Administration	Service Categories:		
STRATEGY:	1	Indirect Administration - Licensure and Registration	Service: NA	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The FTEs in this area support the agency via HR, payroll and other accounting and purchasing functions

3.A. STRATEGY REQUEST
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504 Texas State Board of Dental Examiners

GOAL:	2 Indirect Administration	Statewide Goal/Benchmark:	7	2
OBJECTIVE:	1 Indirect Administration	Service Categories:		
STRATEGY:	2 Indirect Administration - Complaint Resolution	Service: NA	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
1001	SALARIES AND WAGES	\$54,625	\$58,756	\$59,000	\$33,000	\$33,000
1002	OTHER PERSONNEL COSTS	\$8,140	\$12,538	\$12,600	\$330	\$330
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$516	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$0	\$0	\$0	\$0	\$0
2005	TRAVEL	\$(71)	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$215	\$824	\$1,094	\$670	\$670
TOTAL, OBJECT OF EXPENSE		\$62,909	\$72,634	\$72,694	\$34,000	\$34,000
Method of Financing:						
1	General Revenue Fund	\$62,909	\$72,634	\$72,694	\$34,000	\$34,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$62,909	\$72,634	\$72,694	\$34,000	\$34,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$34,000	\$34,000
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$62,909	\$72,634	\$72,694	\$34,000	\$34,000
FULL TIME EQUIVALENT POSITIONS:		1.5	1.5	1.0	1.0	1.0

504 Texas State Board of Dental Examiners

GOAL:	2	Indirect Administration	Statewide Goal/Benchmark:	7	2
OBJECTIVE:	1	Indirect Administration	Service Categories:		
STRATEGY:	2	Indirect Administration - Complaint Resolution	Service: NA	Income: NA	Age: NA

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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STRATEGY DESCRIPTION AND JUSTIFICATION:

Indirect Administration for Enforcement includes the other half of the two FTEs in the Accounting Department. The agency has the indirect administration departments only because they are a state requirement.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The FTEs in this area support the agency via HR, payroll and other accounting and purchasing functions.

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$2,220,878	\$2,399,230	\$2,396,783	\$2,397,959	\$2,397,960
METHODS OF FINANCE (INCLUDING RIDERS):				\$2,397,959	\$2,397,960
METHODS OF FINANCE (EXCLUDING RIDERS):	\$2,220,878	\$2,399,230	\$2,396,783	\$2,397,959	\$2,397,960
FULL TIME EQUIVALENT POSITIONS:	31.9	31.9	36.0	36.0	36.0

Agency code: 504

Agency name:

Texas State Board of Dental Examiners

CODE	DESCRIPTION		Excp 2014	Excp 2015
	Item Name:	Increased transfers/payments to the Health Professions Council		
	Item Priority:	1		
	Includes Funding for the Following Strategy or Strategies:	01-01-01	Provide a System to Investigate and Resolve Complaints	
		01-02-01	Conduct an Efficient Licensure Process	
OBJECTS OF EXPENSE:				
2009	OTHER OPERATING EXPENSE		14,879	14,455
	TOTAL, OBJECT OF EXPENSE		\$14,879	\$14,455
METHOD OF FINANCING:				
1	General Revenue Fund		14,879	14,455
	TOTAL, METHOD OF FINANCING		\$14,879	\$14,455

DESCRIPTION / JUSTIFICATION:

The Dental Board will be called upon to increase its transfers/payments to the Health Professions Council for 2014-2015 for three items:

1. Increase in assessed costs for the shared relational database project
2. Increase in assessed costs for the shared expenses of operating the Health Professions Council
3. Increase in assessed costs to fund a shared webmaster position at HPC to serve several HPC member agencies

EXTERNAL/INTERNAL FACTORS:

All of the HPC costs are shared with at least some of the other HPC agencies. The Dental Board alone does not control these costs. Therefore we are asking for an increase in GR funding to pay for the increase in payments the agency will have to make to the HPC. There are slight increases for regular HPC operations and for the shared regulatory data base. HPC is also planning to provide a new webmaster position to be shared among several HPC agencies.

4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas(ABEST)

DATE: 10/4/2012
 TIME: 9:19:34PM

Agency code: 504

Agency name:

Texas State Board of Dental Examiners

CODE	DESCRIPTION	Excp 2014	Excp 2015
	Item Name: Pay Equity for Current Employees		
	Item Priority: 4		
	Includes Funding for the Following Strategy or Strategies:		
	01-01-01 Provide a System to Investigate and Resolve Complaints		
	01-02-01 Conduct an Efficient Licensure Process		
	02-01-01 Indirect Administration - Licensure and Registration		
	02-01-02 Indirect Administration - Complaint Resolution		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	176,500	176,500
	TOTAL, OBJECT OF EXPENSE	\$176,500	\$176,500
METHOD OF FINANCING:			
1	General Revenue Fund	176,500	176,500
	TOTAL, METHOD OF FINANCING	\$176,500	\$176,500

DESCRIPTION / JUSTIFICATION:

The staff of the Dental Board is underpaid when compared to the same or similar positions for other Health Professions agencies such as the Medical Board, the Pharmacy Board and the Nursing Board and other state agencies such as OIG, OAG, and others. The disparity for attorneys, investigators, accounting personnel and division directors is at least \$10,000 - \$20,000 annually per employee. It is difficult for the Dental Board to recruit and maintain highly competent employees over a long period when facing this competitive disadvantage. The Dental Board is asking for an exceptional item appropriation of \$250,000 per year for 2014 and 2015 to be used to increase salaries for agencies employees.

EXTERNAL/INTERNAL FACTORS:

The Dental Board regularly has employees leave to go to work at higher pay at other state agencies. Within the past two years we have lost attorneys to the Medical Board and the Office of the Inspector General (including our General Counsel). The agency does not have the funds to bring employees up to competitive pay scales without an increase in appropriations. The Dental Board needs to retain its trained attorneys and investigators in order to protect the public by properly investigating and resolving complaints in a timely fashion.

4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/4/2012
 TIME: 9:19:34PM

Agency code: 504

Agency name:

Texas State Board of Dental Examiners

CODE	DESCRIPTION	Excp 2014	Excp 2015
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Item Name: Hire Eleven (11) Additional Staff Throughout the Agency

Item Priority: 2

Includes Funding for the Following Strategy or Strategies:

01-01-01	Provide a System to Investigate and Resolve Complaints
01-02-01	Conduct an Efficient Licensure Process
02-01-01	Indirect Administration - Licensure and Registration
02-01-02	Indirect Administration - Complaint Resolution

OBJECTS OF EXPENSE:

1001	SALARIES AND WAGES	568,000	568,000
1002	OTHER PERSONNEL COSTS	8,000	8,000
2003	CONSUMABLE SUPPLIES	5,000	5,000
2004	UTILITIES	3,000	3,000
2005	TRAVEL	10,000	10,000
2009	OTHER OPERATING EXPENSE	20,000	9,000
5000	CAPITAL EXPENDITURES	20,000	0
TOTAL, OBJECT OF EXPENSE		\$634,000	\$603,000

METHOD OF FINANCING:

1	General Revenue Fund	634,000	603,000
TOTAL, METHOD OF FINANCING		\$634,000	\$603,000

FULL-TIME EQUIVALENT POSITIONS (FTE):	11.00	11.00
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DESCRIPTION / JUSTIFICATION:

The Dental Board currently is authorized to employ 36 FTEs. We are asking to increase the number of authorized FTEs to 47 (an increase of 11 employees). The increase would consist of:

1. 4 Investigators
2. 1 Admin Assistant to support Investigators
3. 2 Attorneys
4. 1 Legal Assistant to support Attorneys
5. 1 License/Permit Specialist
5. 1 Mail Clerk/Accounting Tech for Finance
6. 1 Full Time Staff Dentist (Expert)

Nine of the eleven new employees would focus on investigating and resolving complaints. Currently the agency is about holding even in resolving the complaints it receives.

Agency code: 504

Agency name:

Texas State Board of Dental Examiners

CODE DESCRIPTION

Excp 2014

Excp 2015

each year. We are not able to significantly reduce the backlog of complaints that we have, as it remains at about 1,000 open complaints at any time. We need more enforcement and legal staff to resolve all our complaints in a more timely fashion. A mail clerk/accounting tech is needed to help process incoming mail more efficiently in order to process license applications and renewals quickly and to help with entering financial data into the database system.

The agency currently has one part-time staff dentist who serves as an advisor to employees in Enforcement, Legal and the Executive Department. The staff dentist reviews some standard of care cases and serves as an expert witness for the agency in cases that go to SOAH. A second dentist is needed to help us review and resolve certain cases quicker, which would reduce the number of open cases.

EXTERNAL/INTERNAL FACTORS:

The agency must have legislative approval to increase the number of FTEs from 36 to 47. New management at the Dental Board will be able to increase the number of FTEs to the maximum of 36 during the first quarter of 2013 but cannot go beyond that point and has no funds to pay for additional FTEs over the 36 currently authorized.

4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE
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 Automated Budget and Evaluation System of Texas(ABEST)

DATE: 10/4/2012
 TIME: 9:19:34PM

Agency code: 504

Agency name:

Texas State Board of Dental Examiners

CODE	DESCRIPTION	Excp 2014	Excp 2015
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Item Name: Pay Dental Consultants to Review Standard of Care Cases

Item Priority: 3

Includes Funding for the Following Strategy or Strategies: 01-01-01 Provide a System to Investigate and Resolve Complaints

OBJECTS OF EXPENSE:

2001	PROFESSIONAL FEES AND SERVICES	150,000	150,000
	TOTAL, OBJECT OF EXPENSE	\$150,000	\$150,000

METHOD OF FINANCING:

1	General Revenue Fund	150,000	150,000
	TOTAL, METHOD OF FINANCING	\$150,000	\$150,000

DESCRIPTION / JUSTIFICATION:

The Dental Board receives 500 - 600 standard of care complaints each year. Each of those complaints/cases must be reviewed by at least two qualified dentists to help determine if the treatment provided fell below the acceptable standard of care. The review of standard of care cases is currently a bottleneck in the case resolution process because dentists on the board do not have the time to quickly review all cases and make recommendations for resolution to the legal and enforcement staff. The agency depends on volunteer dental consultants to review cases at no charge. (The Medical Board pays its case review doctors for reviewing cases, something the Dental Board does not have the funding to do.)

EXTERNAL/INTERNAL FACTORS:

The Dental Board currently does not have funding to pay its dental consultants to review standard of care cases for the board. We are asking for an appropriation of \$150,000 annually for 2014 and 2015 to pay qualified dentists to review standard of care cases (\$250 per case x 600 cases = \$150,000).

4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas(ABEST)

DATE: 10/4/2012
 TIME: 9:19:34PM

Agency code: 504

Agency name:
 Texas State Board of Dental Examiners

CODE	DESCRIPTION	Excp 2014	Excp 2015
	Item Name: Increase Pay for Executive Director		
	Item Priority: 5		
	Includes Funding for the Following Strategy or Strategies:		
	01-01-01 Provide a System to Investigate and Resolve Complaints		
	01-02-01 Conduct an Efficient Licensure Process		
 OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	12,500	12,500
	TOTAL, OBJECT OF EXPENSE	\$12,500	\$12,500
 METHOD OF FINANCING:			
1	General Revenue Fund	12,500	12,500
	TOTAL, METHOD OF FINANCING	\$12,500	\$12,500

DESCRIPTION / JUSTIFICATION:

The Executive Director of the Dental Board salary maximum is set by the Legislature and is currently at \$2,500 annually. It should be increased to \$95,000 annually. It is likely that at least 3 or 4 other Dental Board employees will earn as much or more than the Executive Director during FY2013.

The current Executive Director over the past six months has stabilized the agency, corrected operational problems in Licensing and Accounting, improved morale and recruited a good management team (which we hope to keep if we can pay them fairly). Several department managers and employees in other HPC agencies currently make \$15,000 - \$30,000 more each year than does the Executive Director of the Dental Board.

EXTERNAL/INTERNAL FACTORS:

The Board is very pleased with the performance of the Executive Director (Glenn Parker) but does not have the authority to increase his pay for his performance. The Board is asking the Legislature to authorize an increase to \$95,000 annually (a raise of \$12,500) and to provide an increase in appropriations of the same amount.

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

DATE: 10/4/2012

83rd Regular Session, Agency Submission, Version 1

TIME: 9:19:40PM

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 504

Agency name Texas State Board of Dental Examiners

Code Description	Excp 2014	Excp 2015
Item Name: Increased transfers/payments to the Health Professions Council		
Allocation to Strategy: 1-1-1 Provide a System to Investigate and Resolve Complaints		
OBJECTS OF EXPENSE:		
2009 OTHER OPERATING EXPENSE	7,440	7,228
TOTAL, OBJECT OF EXPENSE	\$7,440	\$7,228
METHOD OF FINANCING:		
1 General Revenue Fund	7,440	7,228
TOTAL, METHOD OF FINANCING	\$7,440	\$7,228

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

DATE: 10/4/2012

83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

TIME: 9:19:44PM

Agency code: 504 Agency name Texas State Board of Dental Examiners

Code	Description	Excp 2014	Excp 2015
Item Name: Increased transfers/payments to the Health Professions Council			
Allocation to Strategy: 1-2-1 Conduct an Efficient Licensure Process			
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	7,439	7,227
TOTAL, OBJECT OF EXPENSE		\$7,439	\$7,227
METHOD OF FINANCING:			
1	General Revenue Fund	7,439	7,227
TOTAL, METHOD OF FINANCING		\$7,439	\$7,227

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/4/2012
 TIME: 9:19:44PM

Agency code: 504 Agency name Texas State Board of Dental Examiners

Code	Description	Excp 2014	Excp 2015
Item Name: Pay Equity for Current Employees			
Allocation to Strategy: 1-1-1 Provide a System to Investigate and Resolve Complaints			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	116,600	116,600
TOTAL, OBJECT OF EXPENSE		\$116,600	\$116,600
METHOD OF FINANCING:			
1	General Revenue Fund	116,600	116,600
TOTAL, METHOD OF FINANCING		\$116,600	\$116,600

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

83rd Regular Session, Agency Submission, Version I
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/4/2012

TIME: 9:19:44PM

Agency code: 504 Agency name Texas State Board of Dental Examiners

Code	Description	Excp 2014	Excp 2015
Item Name: Pay Equity for Current Employees			
Allocation to Strategy: 1-2-1 Conduct an Efficient Licensure Process			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	49,900	49,900
TOTAL, OBJECT OF EXPENSE		\$49,900	\$49,900
METHOD OF FINANCING:			
1	General Revenue Fund	49,900	49,900
TOTAL, METHOD OF FINANCING		\$49,900	\$49,900

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4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

DATE: 10/4/2012

83rd Regular Session, Agency Submission, Version 1

TIME: 9:19:44PM

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **504**

Agency name **Texas State Board of Dental Examiners**

Code	Description	Excp 2014	Excp 2015
Item Name: Pay Equity for Current Employees			
Allocation to Strategy: 2-1-1 Indirect Administration - Licensure and Registration			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	5,000	5,000
TOTAL, OBJECT OF EXPENSE		\$5,000	\$5,000
METHOD OF FINANCING:			
1	General Revenue Fund	5,000	5,000
TOTAL, METHOD OF FINANCING		\$5,000	\$5,000

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

DATE: 10/4/2012

83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

TIME: 9:19:44PM

Agency code: 504

Agency name Texas State Board of Dental Examiners

Code	Description	Excp 2014	Excp 2015
Item Name: Pay Equity for Current Employees			
Allocation to Strategy: 2-1-2 Indirect Administration - Complaint Resolution			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	5,000	5,000
TOTAL, OBJECT OF EXPENSE		\$5,000	\$5,000
METHOD OF FINANCING:			
1	General Revenue Fund	5,000	5,000
TOTAL, METHOD OF FINANCING		\$5,000	\$5,000

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4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

DATE: 10/4/2012

83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

TIME: 9:19:44PM

Agency code: 504 Agency name Texas State Board of Dental Examiners

Code	Description	Excp 2014	Excp 2015
Item Name: Hire Eleven (11) Additional Staff Throughout the Agency			
Allocation to Strategy: 1-1-1 Provide a System to Investigate and Resolve Complaints			
STRATEGY IMPACT ON OUTCOME MEASURES:			
3	Percent of Documented Complaints Resolved within 6 Months	50.00%	50.00%
OUTPUT MEASURES:			
1	Number of Complaints Resolved	300.00	300.00
2	Number of Complaints Pending Litigation	-100.00	-100.00
EFFICIENCY MEASURES:			
1	Average Time for Complaint Resolution	310.00	310.00
2	Average Time to Resolve Complaints Pending Litigation	0.00	0.00
EXPLANATORY/INPUT MEASURES:			
1	Number of Jurisdictional Complaints Received	1,200.00	1,250.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	496,000	496,000
1002	OTHER PERSONNEL COSTS	6,000	6,000
2003	CONSUMABLE SUPPLIES	4,000	4,000
2004	UTILITIES	3,000	3,000
2005	TRAVEL	10,000	10,000
2009	OTHER OPERATING EXPENSE	14,000	8,000
5000	CAPITAL EXPENDITURES	18,000	0
TOTAL, OBJECT OF EXPENSE		\$551,000	\$527,000
METHOD OF FINANCING:			
1	General Revenue Fund	551,000	527,000
TOTAL, METHOD OF FINANCING		\$551,000	\$527,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		9.0	9.0

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/4/2012

TIME: 9:19:44PM

Agency code: 504 Agency name Texas State Board of Dental Examiners

Code	Description	Excp 2014	Excp 2015
Item Name: Hire Eleven (11) Additional Staff Throughout the Agency			
Allocation to Strategy: 1-2-1 Conduct an Efficient Licensure Process			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	36,000	36,000
1002	OTHER PERSONNEL COSTS	1,000	1,000
2003	CONSUMABLE SUPPLIES	500	500
2009	OTHER OPERATING EXPENSE	2,000	500
5000	CAPITAL EXPENDITURES	2,000	0
TOTAL, OBJECT OF EXPENSE		\$41,500	\$38,000
METHOD OF FINANCING:			
1 General Revenue Fund		41,500	38,000
TOTAL, METHOD OF FINANCING		\$41,500	\$38,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		1.0	1.0

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4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/4/2012
 TIME: 9:19:44PM

Agency code: 504 Agency name Texas State Board of Dental Examiners

Code	Description	Excp 2014	Excp 2015
Item Name: Hire Eleven (11) Additional Staff Throughout the Agency			
Allocation to Strategy: 2-1-1 Indirect Administration - Licensure and Registration			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	18,000	18,000
1002	OTHER PERSONNEL COSTS	500	500
2003	CONSUMABLE SUPPLIES	250	250
2009	OTHER OPERATING EXPENSE	2,000	250
TOTAL, OBJECT OF EXPENSE		\$20,750	\$19,000
METHOD OF FINANCING:			
1 General Revenue Fund		20,750	19,000
TOTAL, METHOD OF FINANCING		\$20,750	\$19,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		0.5	0.5

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/4/2012
 TIME: 9:19:44PM

Agency code: 504 Agency name Texas State Board of Dental Examiners

Code	Description	Excp 2014	Excp 2015
Item Name: Hire Eleven (11) Additional Staff Throughout the Agency			
Allocation to Strategy: 2-1-2 Indirect Administration - Complaint Resolution			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	18,000	18,000
1002	OTHER PERSONNEL COSTS	500	500
2003	CONSUMABLE SUPPLIES	250	250
2009	OTHER OPERATING EXPENSE	2,000	250
TOTAL, OBJECT OF EXPENSE		\$20,750	\$19,000
METHOD OF FINANCING:			
1	General Revenue Fund	20,750	19,000
TOTAL, METHOD OF FINANCING		\$20,750	\$19,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		0.5	0.5

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/4/2012
 TIME: 9:19:44PM

Agency code: 504 Agency name Texas State Board of Dental Examiners

Code	Description	Excp 2014	Excp 2015
Item Name: Pay Dental Consultants to Review Standard of Care Cases			
Allocation to Strategy: 1-1-1 Provide a System to Investigate and Resolve Complaints			
STRATEGY IMPACT ON OUTCOME MEASURES:			
3	Percent of Documented Complaints Resolved within 6 Months	20.00%	20.00%
OUTPUT MEASURES:			
1	Number of Complaints Resolved	100.00	100.00
2	Number of Complaints Pending Litigation	-25.00	-25.00
EFFICIENCY MEASURES:			
1	Average Time for Complaint Resolution	280.00	280.00
2	Average Time to Resolve Complaints Pending Litigation	325.00	325.00
EXPLANATORY/INPUT MEASURES:			
1	Number of Jurisdictional Complaints Received	1,200.00	1,250.00
OBJECTS OF EXPENSE:			
2001	PROFESSIONAL FEES AND SERVICES	150,000	150,000
TOTAL, OBJECT OF EXPENSE		\$150,000	\$150,000
METHOD OF FINANCING:			
1	General Revenue Fund	150,000	150,000
TOTAL, METHOD OF FINANCING		\$150,000	\$150,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		0.0	0.0

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/4/2012
 TIME: 9:19:44PM

Agency code: 504 Agency name Texas State Board of Dental Examiners

Code	Description	Excp 2014	Excp 2015
Item Name: Increase Pay for Executive Director			
Allocation to Strategy: 1-1-1 Provide a System to Investigate and Resolve Complaints			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	6,250	6,250
TOTAL, OBJECT OF EXPENSE		\$6,250	\$6,250
METHOD OF FINANCING:			
1	General Revenue Fund	6,250	6,250
TOTAL, METHOD OF FINANCING		\$6,250	\$6,250

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

DATE: 10/4/2012

83rd Regular Session, Agency Submission, Version 1

TIME: 9:19:44PM

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 504

Agency name Texas State Board of Dental Examiners

Code Description	Excp 2014	Excp 2015
Item Name: Increase Pay for Executive Director		
Allocation to Strategy: 1-2-1 Conduct an Efficient Licensure Process		
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	6,250	6,250
TOTAL, OBJECT OF EXPENSE	\$6,250	\$6,250
METHOD OF FINANCING:		
1 General Revenue Fund	6,250	6,250
TOTAL, METHOD OF FINANCING	\$6,250	\$6,250

Agency Code: 504 Agency name: Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas Statewide Goal/Benchmark: 7 - 4

OBJECTIVE: 1 Protect the Public by Ensuring That Complaints Are Investigated Service Categories:

STRATEGY: 1 Provide a System to Investigate and Resolve Complaints Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2014	Excp 2015
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STRATEGY IMPACT ON OUTCOME MEASURES:

1 Percent of Complaints Resulting in Disciplinary Action	2.00 %	2.00 %
3 Percent of Documented Complaints Resolved within 6 Months	20.00 %	20.00 %

OUTPUT MEASURES:

1 Number of Complaints Resolved	200.00	200.00
2 Number of Complaints Pending Litigation	(100.00)	(100.00)

EFFICIENCY MEASURES:

1 Average Time for Complaint Resolution	310.00	310.00
2 Average Time to Resolve Complaints Pending Litigation	355.00	355.00

EXPLANATORY/INPUT MEASURES:

1 Number of Jurisdictional Complaints Received	1,200.00	1,250.00
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	618,850	618,850
1002 OTHER PERSONNEL COSTS	6,000	6,000
2001 PROFESSIONAL FEES AND SERVICES	150,000	150,000
2003 CONSUMABLE SUPPLIES	4,000	4,000
2004 UTILITIES	3,000	3,000
2005 TRAVEL	10,000	10,000
2009 OTHER OPERATING EXPENSE	21,440	15,228
5000 CAPITAL EXPENDITURES	18,000	0
Total, Objects of Expense	\$831,290	\$807,078

Agency Code: **504** Agency name: **Texas State Board of Dental Examiners**

GOAL: 1 To Ensure Quality Dental Care for the People of Texas Statewide Goal/Benchmark: 7 - 4

OBJECTIVE: 1 Protect the Public by Ensuring That Complaints Are Investigated Service Categories:

STRATEGY: 1 Provide a System to Investigate and Resolve Complaints Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2014	Excp 2015
METHOD OF FINANCING:		
1 General Revenue Fund	831,290	807,078
Total, Method of Finance	\$831,290	\$807,078
FULL-TIME EQUIVALENT POSITIONS (FTE):	9.0	9.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

- Increased transfers/payments to the Health Professions Council
- Pay Equity for Current Employees
- Hire Eleven (11) Additional Staff Throughout the Agency
- Pay Dental Consultants to Review Standard of Care Cases
- Increase Pay for Executive Director

Agency Code: **504** Agency name: **Texas State Board of Dental Examiners**

GOAL: 1 To Ensure Quality Dental Care for the People of Texas Statewide Goal/Benchmark: 7 - 6

OBJECTIVE: 2 Ensure Licensing Standards Are Met Service Categories:

STRATEGY: 1 Conduct an Efficient Licensure Process Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2014	Exp 2015
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EFFICIENCY MEASURES:

1 Percentage of New Individual Licenses Issued within 10 Days: D & DH	98.00	98.00
2 Percentage of Individual License Renewals Issued within 7 Days: D & DH	98.00 %	98.00 %

EXPLANATORY/INPUT MEASURES:

1 Total Number of Individuals Licensed: Dentists	16,500.00	17,000.00
2 Total Number of Individuals Licensed: Dental Hygienist	12,500.00	12,500.00
3 Total Number of Business Facilities Licensed	850.00	850.00

OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	92,150	92,150
1002 OTHER PERSONNEL COSTS	1,000	1,000
2003 CONSUMABLE SUPPLIES	500	500
2009 OTHER OPERATING EXPENSE	9,439	7,727
5000 CAPITAL EXPENDITURES	2,000	0
Total, Objects of Expense	\$105,089	\$101,377

METHOD OF FINANCING:

1 General Revenue Fund	105,089	101,377
Total, Method of Finance	\$105,089	\$101,377

FULL-TIME EQUIVALENT POSITIONS (FTE):

1.0	1.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Increased transfers/payments to the Health Professions Council
 Pay Equity for Current Employees

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Agency Code: 504 Agency name: Texas State Board of Dental Examiners

GOAL: 1 To Ensure Quality Dental Care for the People of Texas Statewide Goal/Benchmark: 7 - 6

OBJECTIVE: 2 Ensure Licensing Standards Are Met Service Categories:

STRATEGY: 1 Conduct an Efficient Licensure Process Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2014	Excp 2015
	Hire Eleven (11) Additional Staff Throughout the Agency		
	Increase Pay for Executive Director		

Agency Code: 504 Agency name: Texas State Board of Dental Examiners
 GOAL: 2 Indirect Administration Statewide Goal/Benchmark: 7 - 6
 OBJECTIVE: 1 Indirect Administration Service Categories:
 STRATEGY: 1 Indirect Administration - Licensure and Registration Service: NA Income: NA Age: NA

CODE DESCRIPTION	Exp 2014	Exp 2015
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	23,000	23,000
1002 OTHER PERSONNEL COSTS	500	500
2003 CONSUMABLE SUPPLIES	250	250
2009 OTHER OPERATING EXPENSE	2,000	250
Total, Objects of Expense	\$25,750	\$24,000
METHOD OF FINANCING:		
1 General Revenue Fund	25,750	24,000
Total, Method of Finance	\$25,750	\$24,000
FULL-TIME EQUIVALENT POSITIONS (FTE):	0.5	0.5

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Pay Equity for Current Employees
 Hire Eleven (11) Additional Staff Throughout the Agency

Agency Code: 504 Agency name: Texas State Board of Dental Examiners

GOAL: 2 Indirect Administration Statewide Goal/Benchmark: 7 - 2
 OBJECTIVE: 1 Indirect Administration Service Categories:
 STRATEGY: 2 Indirect Administration - Complaint Resolution Service: NA Income: NA Age: NA

CODE DESCRIPTION	Excp 2014	Excp 2015
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	23,000	23,000
1002 OTHER PERSONNEL COSTS	500	500
2003 CONSUMABLE SUPPLIES	250	250
2009 OTHER OPERATING EXPENSE	2,000	250
Total, Objects of Expense	\$25,750	\$24,000

METHOD OF FINANCING:

1 General Revenue Fund	25,750	24,000
Total, Method of Finance	\$25,750	\$24,000

FULL-TIME EQUIVALENT POSITIONS (FTE):

0.5	0.5
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Pay Equity for Current Employees

Hire Eleven (11) Additional Staff Throughout the Agency

6.A. HISTORICALLY UNDERUTILIZED BUSINESS SUPPORTING SCHEDULE
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 1/31/2013
 Time: 4:52:21PM

Agency Code: 504 Agency: Texas State Board of Dental Examiners

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2010 - 2011 HUB Expenditure Information

Statewide HUB Goals	Procurement Category	% Goal	HUB Expenditures FY 2010			Total Expenditures FY 2010		HUB Expenditures FY 2011			Total Expenditures FY 2011
			% Actual	Diff	Actual \$	% Goal	% Actual	Diff	Actual \$		
20.0%	Professional Services	50.0 %	100.0%	50.0%	\$9,030	\$9,030	50.0 %	100.0%	50.0%	\$5,317	\$5,317
33.0%	Other Services	30.0 %	15.7%	-14.3%	\$22,332	\$141,995	30.0 %	3.6%	-26.4%	\$4,201	\$116,333
12.6%	Commodities	25.0 %	38.3%	13.3%	\$21,619	\$56,490	25.0 %	38.1%	13.1%	\$7,684	\$20,167
	Total Expenditures		25.5%		\$52,981	\$207,515		12.1%		\$17,202	\$141,817

B. Assessment of Fiscal Year 2010 - 2011 Efforts to Meet HUB Procurement Goals

Attainment:

The Board of Dental Examiners strives to purchase goods and services from HUBs for most of its equipment and supply purchases. A new Executive Director was hired on August 10 and has committed to supporting HUBs at a higher level than the agency did in the past. During FY 2011 the agency purchased 12.13% of its applicable items from HUBs. For FY 2012 the percentage was 15.62%. The goal for 2013 - 2015 is at least 35%.

Applicability:

There are no particular factors that prevent the Dental Board from making most of its equipment and supply purchases from HUBs.

Factors Affecting Attainment:

None that would prevent the agency from setting a goal of at least 50% of commodities and equipment from qualified HUB vendors.

"Good-Faith" Efforts:

The new Executive Director has set goal of getting overall HUB purchases up to at least 50% of the total.

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6.E. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

83rd Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **504** Agency name: **Texas State Board of Dental Examiners**

FUND/ACCOUNT	Act 2011	Exp 2012	Exp 2013	Bud 2014	Est 2015
1 General Revenue Fund					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3562 Health Related Profession Fees	5,834,605	6,155,000	6,401,000	6,657,000	6,923,000
3570 Peer Assistance Prog Fees	146,961	155,000	161,000	168,000	175,000
3572 Health Rel Prof Fees-HB11, GR Incr	2,742,419	2,893,000	3,008,000	3,129,000	3,254,000
3727 Fees - Administrative Services	710	1,000	1,000	1,000	1,000
Subtotal: Actual/Estimated Revenue	8,724,695	9,204,000	9,571,000	9,955,000	10,353,000
Total Available	\$8,724,695	\$9,204,000	\$9,571,000	\$9,955,000	\$10,353,000
DEDUCTIONS:					
Agency Operating Expenditures	(1,999,571)	(2,140,683)	(2,138,236)	(2,139,459)	(2,139,460)
Benefits Paid - Social Security	(108,519)	(114,545)	(120,000)	(120,000)	(12,000)
Benefits Paid - Retirement	(86,576)	(79,596)	(90,000)	(90,000)	(90,000)
Benefits Paid - Group Insurance	(163,225)	(152,256)	(165,000)	(165,000)	(165,000)
Benefits Paid - Benefit Replacement	(2,557)	(1,284)	0	0	0
Total, Deductions	\$(2,360,448)	\$(2,488,364)	\$(2,513,236)	\$(2,514,459)	\$(2,406,460)
Ending Fund/Account Balance	\$6,364,247	\$6,715,636	\$7,057,764	\$7,440,541	\$7,946,540

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Glenn Parker

6.E. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

83rd Regular Session, Agency Submission, Version I

Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **504** Agency name: **Texas State Board of Dental Examiners**

FUND/ACCOUNT	Act 2011	Exp 2012	Exp 2013	Bud 2014	Est 2015
666 Appropriated Receipts					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3719 Fees/Copies or Filing of Records	8,848	10,000	10,000	10,000	10,000
3722 Conf, Semin, & Train Regis Fees	131,930	135,000	135,000	135,000	135,000
3752 Sale of Publications/Advertising	79,024	113,547	113,547	113,547	113,547
Subtotal: Actual/Estimated Revenue	219,802	258,547	258,547	258,547	258,547
Total Available	\$219,802	\$258,547	\$258,547	\$258,547	\$258,547
DEDUCTIONS:					
Agency Operations	(219,802)	(258,547)	(258,547)	(258,547)	(258,547)
Total, Deductions	\$(219,802)	\$(258,547)	\$(258,547)	\$(258,547)	\$(258,547)
Ending Fund/Account Balance	\$0	\$0	\$0	\$0	\$0

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Glenn Parker

6I. PERCENT BIENNIAL BASE REDUCTION OPTIONS

10 % REDUCTION

83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/4/2012
Time: 9:20:11PM

Agency code: 504 Agency name: Texas State Board of Dental Examiners

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT		TARGET
	2014	2015	Biennial Total	2014	2015	Biennial Total
1 Layoff Receptionist						
Category: Administrative - FTEs / Layoffs						
Item Comment: The agency's one receptionist would be terminated All incoming calls would be routed through voice mail, which would result in a degradation of service to our customers.						
Strategy: 1-2-1 Conduct an Efficient Licensure Process						
<u>General Revenue Funds</u>						
1 General Revenue Fund	\$0	\$0	\$0	\$22,000	\$22,000	\$44,000
General Revenue Funds Total	\$0	\$0	\$0	\$22,000	\$22,000	\$44,000
Item Total	\$0	\$0	\$0	\$22,000	\$22,000	\$44,000
FTE Reductions (From FY 2014 and FY 2015 Base Request)				1.0	1.0	
2 Reduce Legal Staff						
Category: Programs - Service Reductions (FTEs-Layoffs)						
Item Comment: One half-time person in legal support would be terminated This person prepares paperwork to follow up on disciplinary actions and board orders						
Strategy: 1-1-1 Provide a System to Investigate and Resolve Complaints						
<u>General Revenue Funds</u>						
1 General Revenue Fund	\$0	\$0	\$0	\$18,000	\$18,000	\$36,000
General Revenue Funds Total	\$0	\$0	\$0	\$18,000	\$18,000	\$36,000
Item Total	\$0	\$0	\$0	\$18,000	\$18,000	\$36,000
FTE Reductions (From FY 2014 and FY 2015 Base Request)				0.5		
3 Reduce Enforce Expenses						
Category: Administrative - Operating Expenses						

6I. PERCENT BIENNIAL BASE REDUCTION OPTIONS

10 % REDUCTION

83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas(ABEST)

Date: 10/4/2012
Time: 9:20:30PM

Agency code: 504 Agency name: Texas State Board of Dental Examiners

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT		TARGET
	2014	2015	Biennial Total	2014	2015	Biennial Total
Item Comment: Reduce miscellaneous expenses related to the layoff of 3 investigators. The expenses would be a reduction in travel, phone and internet access expenses and other misc items all of which would total \$14,946.						
Strategy: 1-1-1 Provide a System to Investigate and Resolve Complaints						
<u>General Revenue Funds</u>						
1 General Revenue Fund	\$0	\$0	\$0	\$14,946	\$14,946	\$29,892
General Revenue Funds Total	\$0	\$0	\$0	\$14,946	\$14,946	\$29,892
Item Total	\$0	\$0	\$0	\$14,946	\$14,946	\$29,892
FTE Reductions (From FY 2014 and FY 2015 Base Request)						
4 Reduce Enforcement Staff						
Category: Programs - Service Reductions (FTEs-Layoffs)						
Item Comment: This action would layoff 4 FTEs from the Enforcement Department. Three (3) investigators, including one supervisor, would be laid off. In addition one (1) Administrative Assistant for Enforcement would be terminated. This would reduce expenditures by \$159,000 annually. The number of complaints investigated each year would be cut by one third.						
Strategy: 1-1-1 Provide a System to Investigate and Resolve Complaints						
<u>General Revenue Funds</u>						
1 General Revenue Fund	\$0	\$0	\$0	\$159,000	\$159,000	\$318,000
General Revenue Funds Total	\$0	\$0	\$0	\$159,000	\$159,000	\$318,000
Item Total	\$0	\$0	\$0	\$159,000	\$159,000	\$318,000
FTE Reductions (From FY 2014 and FY 2015 Base Request)				4.0	4.0	
AGENCY TOTALS						
General Revenue Total				\$213,946	\$213,946	\$427,892
Agency Grand Total	\$0	\$0	\$0	\$213,946	\$213,946	\$427,892

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6I. PERCENT BIENNIAL BASE REDUCTION OPTIONS

10 % REDUCTION

83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas(ABEST)

Date: 10/4/2012
 Time: 9:20:30PM

Agency code: 504 Agency name: Texas State Board of Dental Examiners

Item Priority and Name/ <u>Method of Financing</u>	REVENUE LOSS		REDUCTION AMOUNT		TARGET
	2014	2015 Biennial Total	2014	2015 Biennial Total	
Difference, Options Total Less Target Agency FTE Reductions (From FY 2014 and FY 2015 Base Request)			5.5	5.0	

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7.A. INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

1/31/2013 4:54:31PM

83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

504 Texas State Board of Dental Examiners

Strategy	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
2-1-1 Indirect Administration - Licensure and Registration					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$49,375	\$ 32,892	\$ 33,000	\$ 33,000	\$ 33,000
1002 OTHER PERSONNEL COSTS	0	320	330	330	330
2009 OTHER OPERATING EXPENSE	0	928	810	670	670
Total, Objects of Expense	\$49,375	\$34,140	\$34,140	\$34,000	\$34,000
METHOD OF FINANCING:					
1 General Revenue Fund	49,375	34,140	34,140	34,000	34,000
Total, Method of Financing	\$49,375	\$34,140	\$34,140	\$34,000	\$34,000
FULL TIME EQUIVALENT POSITIONS	1.5	1.0	1.0	1.0	1.0

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7.A. INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

1/31/2013 4:54:49PM

83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

504 Texas State Board of Dental Examiners

Strategy	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
2-1-2 Indirect Administration - Complaint Resolution					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$54,625	\$ 58,756	\$ 59,000	\$ 33,000	\$ 33,000
1002 OTHER PERSONNEL COSTS	8,140	12,538	12,600	330	330
2001 PROFESSIONAL FEES AND SERVICES	0	516	0	0	0
2005 TRAVEL	-71	0	0	0	0
2009 OTHER OPERATING EXPENSE	215	824	1,094	670	670
Total, Objects of Expense	\$62,909	\$72,634	\$72,694	\$34,000	\$34,000
METHOD OF FINANCING:					
1 General Revenue Fund	62,909	72,634	72,694	34,000	34,000
Total, Method of Financing	\$62,909	\$72,634	\$72,694	\$34,000	\$34,000
FULL TIME EQUIVALENT POSITIONS	1.5	1.5	1.0	1.0	1.0

7.A. INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

1/31/2013 4:54:49PM

83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

504 Texas State Board of Dental Examiners

	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
GRAND TOTALS					
Objects of Expense					
1001 SALARIES AND WAGES	\$104,000	\$91,648	\$92,000	\$66,000	\$66,000
1002 OTHER PERSONNEL COSTS	\$8,140	\$12,858	\$12,930	\$660	\$660
2001 PROFESSIONAL FEES AND SERVICES	\$0	\$516	\$0	\$0	\$0
2005 TRAVEL	\$(71)	\$0	\$0	\$0	\$0
2009 OTHER OPERATING EXPENSE	\$215	\$1,752	\$1,904	\$1,340	\$1,340
Total, Objects of Expense	\$112,284	\$106,774	\$106,834	\$68,000	\$68,000
Method of Financing					
1 General Revenue Fund	\$112,284	\$106,774	\$106,834	\$68,000	\$68,000
Total, Method of Financing	\$112,284	\$106,774	\$106,834	\$68,000	\$68,000
Full-Time-Equivalent Positions (FTE)	3.0	2.5	2.0	2.0	2.0

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